

Practitioner's Docket No. 789\_056

PATENT

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on September 29, 2000 under "EXPRESS MAIL" mailing label number EL692672721US.

Elizabeth A. VanAntwerp

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

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Title: PIEZOELECTRIC/ELECTROSTRICTIVE DEVICE

1. Papers enclosed

A. Required (in Japanese) for filing date under 37 CFR 1.53(b) (Regular) are:

- 14 Page(s) of Specification
- 1 Page(s) of Claims
- 1 Page(s) of Abstract
- 12 Sheets of Formal Drawings (Figs. 1-12)

B. Other Papers Enclosed

- ☐ A Preliminary Amendment
- ☒ An Information Disclosure Statement and Identification of Copending Applications
- ☒ PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and certified copies of:

Japanese Application 11-281522 filed October 1, 1999; and  
Japanese Application 2000-056434 filed March 1, 2000

- ☒ An Assignment Transmittal and Assignment of the invention to  
NGK Insulators, Ltd.

### 3. Small Entity Status

- ☐ A statement that this filing is by a small entity is attached.  
☐ A separate refund request accompanies this paper.  
☐ was filed on \_\_\_\_\_ (original).

### 4. The filing fee has been calculated as shown below:

- A. Filing Fee  
☒ Original Patent Application \$ 690.00  
(37 C.F.R. 1.16(a) --\$690.00; Small Entity--\$345.00)
- B. Fees for Claims (5 Claims; 1 Indep.)  
☐ each independent claim in excess of 3 \$ .00  
(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00)  
☐ each claim in excess of 20 \$ .00  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)  
☐ multiple dependent claim(s) \$ .00  
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00)
- C. Surcharge fees  
☒ late payment of filing fee \$ 130.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$ .00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☐ Petition and fee for filing by other than all the \$ .00  
inventors or a person not the inventor  
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
- E. ☒ Fee for processing an application filed with a \$ 130.00  
specification in a non-English language  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
- F. ☒ Assignment Fee \$ 40.00

**Total Fees Due \$ 990.00**

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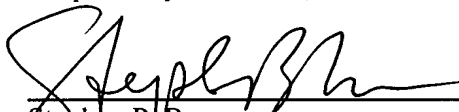
**5. Payment of Fees and Authorization to Charge Additional Fees  
or Credit Overpayment**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

September 29, 2000

Date

  
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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

PTO-1556  
(5/87)

\*U.S. GPO: 1999-459-082/19144